New Techniques for High-Resolution Atmospheric Sounding

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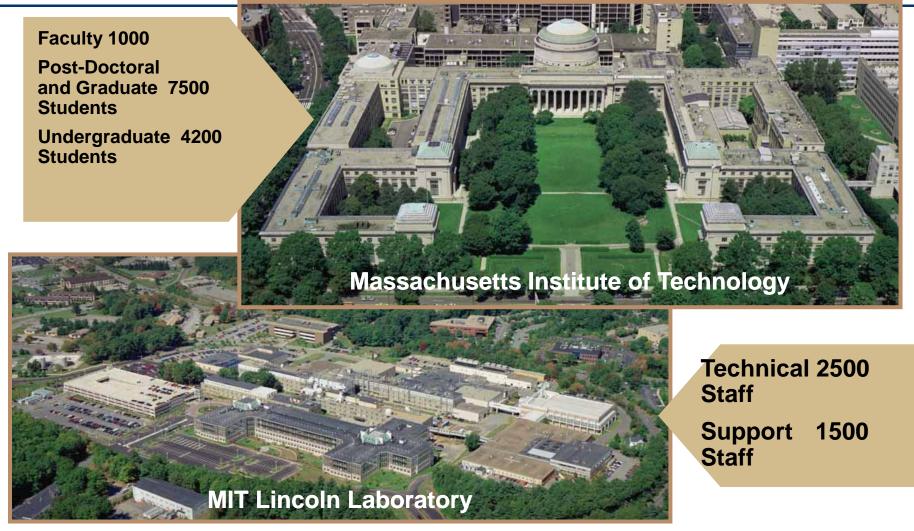


Outline

- Brief overview of MIT Lincoln Laboratory
- Introduction to space-based passive infrared and microwave atmospheric sounding
 - Motivation
 - Past, present, and future sounding systems
 - Mathematical background
- Algorithmic challenges of modern sounding systems
 - Retrieval of atmospheric temperature and moisture profiles using high-resolution IR sensors (1000's of channels)
 - Clouds impact approximately 95% of soundings (highly non-linear)
- Recent research and new approaches:
 - Algorithms: Neural Network Estimation; Stochastic Cloud Clearing
 - Sensors: Hyperspectral microwave sounding (GeoMAS) and constellation sensing (MicroMAS CubeSat mission)
- Summary and Final Thoughts



MIT Lincoln Laboratory is a DoD-Sponsored FFRDC^{*} operated by MIT



*Federally-Funded Research and Development Center

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Fundamental Mission — Technology in Support of National Security

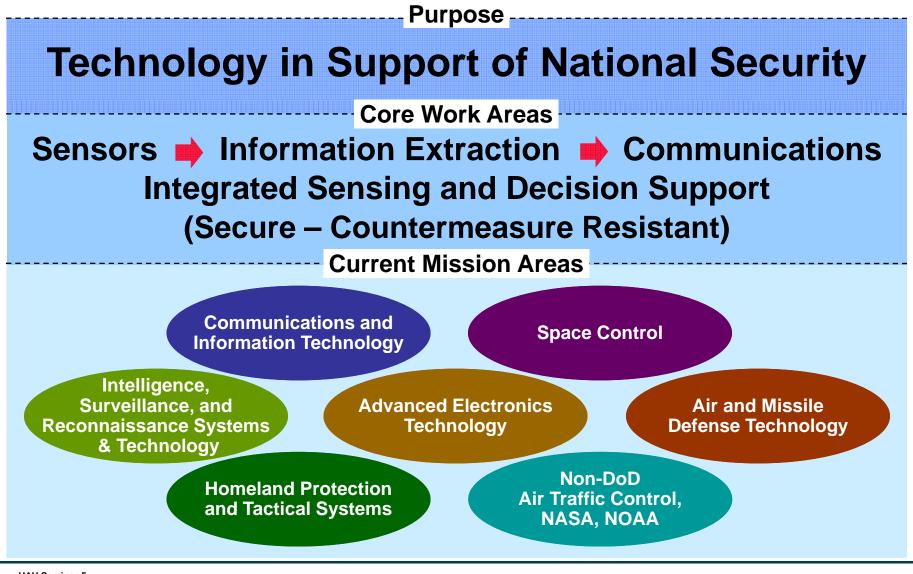


Advanced lithography and microelectronics fabrication

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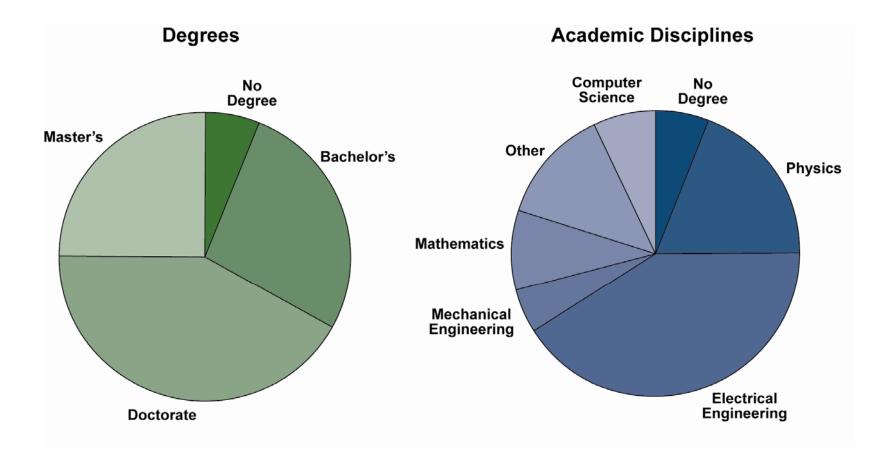
MIT Lincoln Laboratory



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Composition of Professional Staff





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Meteorological Significance of Atmospheric Remote Sensing Data

- Nowcasting (assessing current atmospheric state)
- Initializing numerical weather prediction (NWP) forecasts
 - Increase forecast accuracy
 - Extend forecast duration
 - Biggest impact in Southern Hemisphere (little other data) and northern oceans plus their eastern coasts
- Precise climatological studies
 - Average atmospheric temperatures observed with ~0.02K relative accuracy, reducing aliasing in other global warming measurements which sample Earth nonuniformly
 - Geostationary satellites can observe at ~0.5-3 hr periods monitoring severe storms, while polar satellites provide synoptic data driving NWP models



Uses of the Infrared and Microwave Spectrum

- Temperature profile estimation
- Water vapor profile estimation
- Precipitation monitoring
 - Sea surface temperature
 - Snow and sea ice, flooding, surface emissivity
 - Cloud-top pressure and temperature
 - Clouds are opaque at IR wavelengths
 - > Non-precipitating clouds are transparent at MW wavelengths
 - Trace constituents: O_3 , CO, CH₄, N₂O, CO₂, etc.



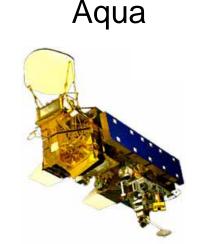
Lincoln Laboratory's Role in Present and **Future Sounding Systems**

GOES





POES





SNPP/JPSS

- Prototype demonstration
- Technology evaluation Detector arrays
- Studies and analysis
 - > Instrument point designs > Algorithm development and evaluation

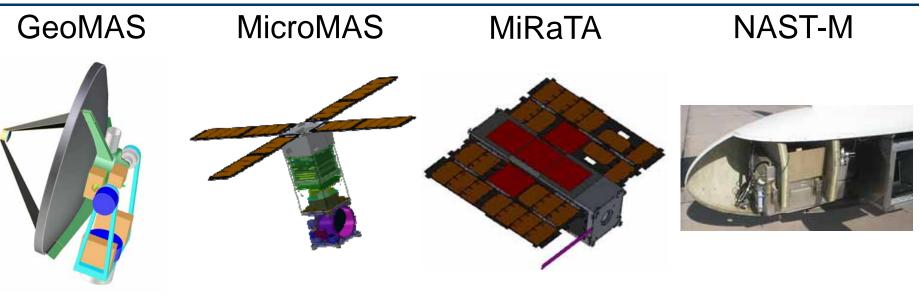
- Pre/Post-launch support
 - ➤ Calibration
 - > Performance assessment
- On-orbit instrument evaluation
- Ground testing support

- Level 2 algorithm support Sensor development support
 - > Neural network
 - Cloud clearing
- Performance validation ➢Radiosonde matchups **≻**ECMWF comparisons
- - ≻Modeling & simulation
 - ➤Calibration & validation
 - Hardware testing
- Algorithm development and evaluation
 - Data fusion
 - Precipitation

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Lincoln Laboratory's Role in Present and Future Sounding Systems



- Geostationary concept
- Hyperspectral microwave
 ➢ Technology funded by NASA ESTO
- Excellent performance
 - ≻All-weather
 - Precipitation
 - ➤Temperature
 - ≻Humidity

 • 3U CubeSat
 > 2013 launch (NASA)
 > 118-GHz spectrometer

- Scanning assembly
- One-year lifetime goal

- 3U CubeSat
 ➢ Radiometer + GPSRO concept
 ➢ Multi-band
- ATMS-like performance
- Proposal submitted

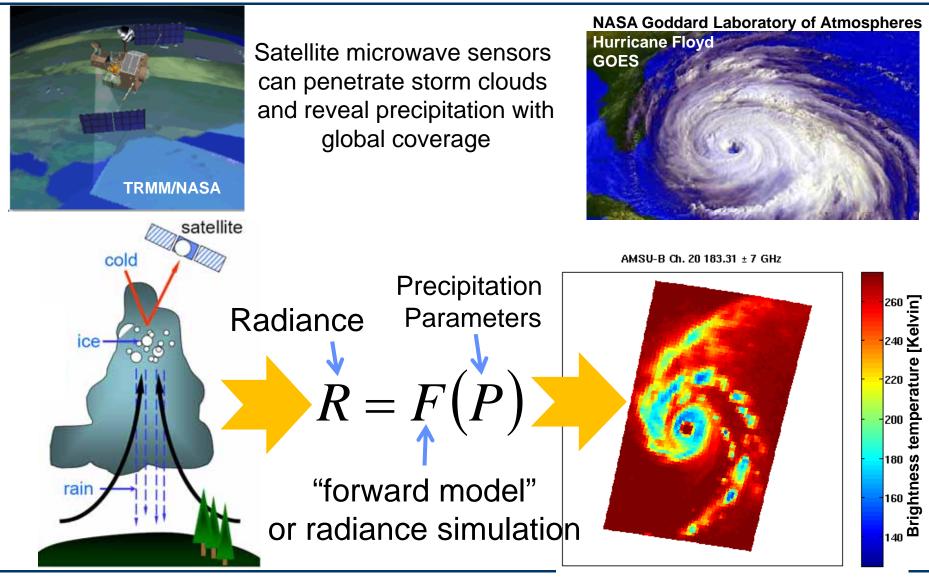
- Airborne instrument
 - SNPP cal/val
 - ➢JPSS risk reduction
 - ➤Technology validation
- Algorithm development and evaluation
 - Data fusion
 - Precipitation

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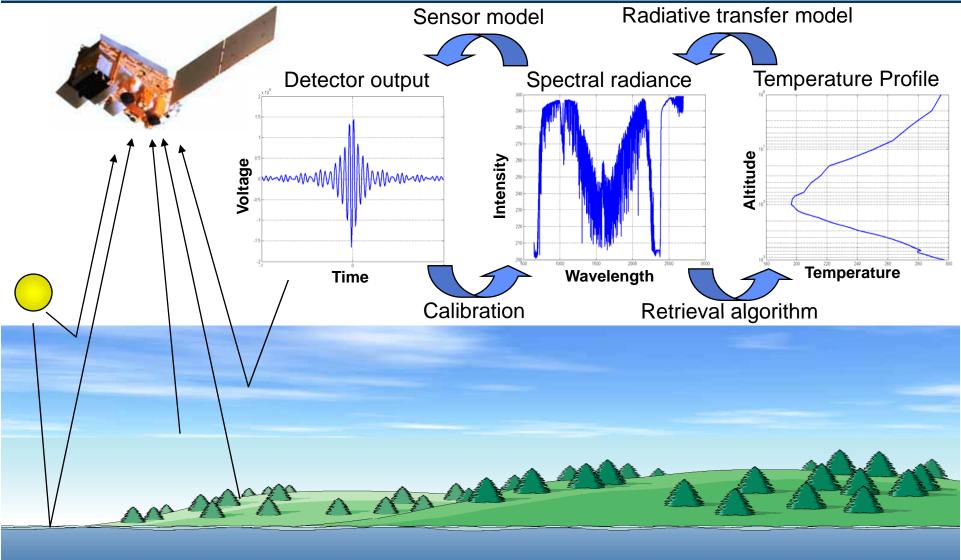


Passive Microwave Radiation & Precipitation





Atmospheric Remote Sensing: Measurement Scenario



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Retrieval of Geophysical Parameters from Radiometric Data

Variational (model-based) approach:

- A forward model relates the geophysical state of the atmosphere to the radiances measured by the sensor.
- $R = f \begin{pmatrix} X \equiv [T(\vec{r},t), W(\vec{r},t), O(\vec{r},t), ...] \\ \text{surface reflectivity, solar illumination, etc.} \\ \text{observing system (bandwidth, resolution, etc.)} \end{pmatrix}$
- A "guess" of the atmospheric state is adjusted iteratively until modeled radiance "matches" observed radiance.

$$\gamma = \|R - R_{obs}\| + h(X)$$
"regularization" term

Statistical (regression-based) approach:

• An ensemble of radiance-state vector pairs is assembled, and a statistical relationship between the two is derived empirically.

$$\hat{X} = g(R_{obs})$$
, where $g(\cdot)$ is $\operatorname{argmin} \|X_{ens} - g(R_{ens})\|$
 $g(\cdot)$

Examples of $g(\cdot)$ include LLSE and neural network

observation noise

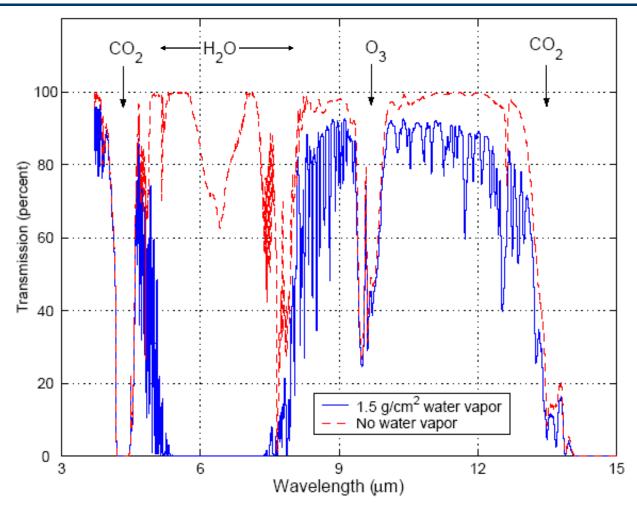


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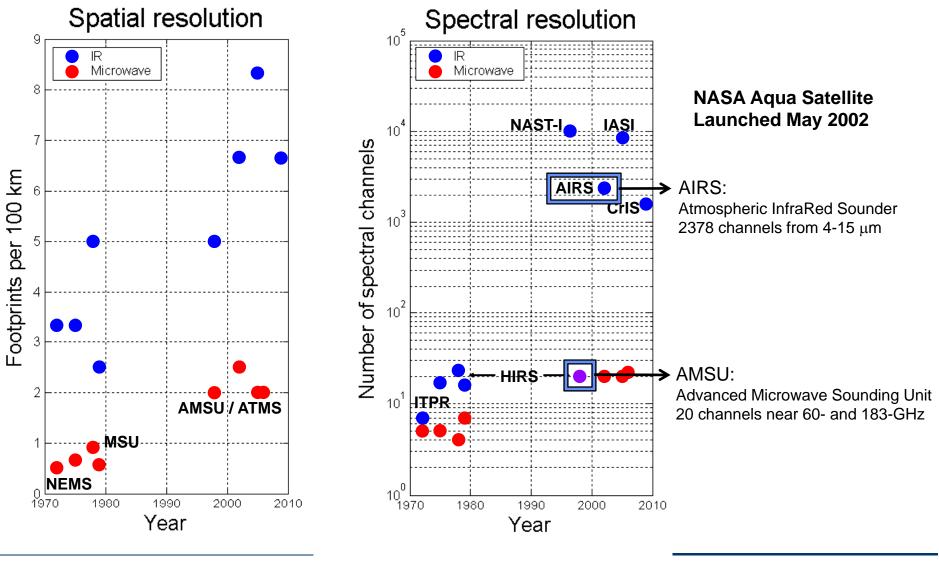
Atmospheric Transmission at Infrared Wavelengths



• The frequency dependence of atmospheric absorption allows different altitudes to be sensed by spacing channels along absorption lines.



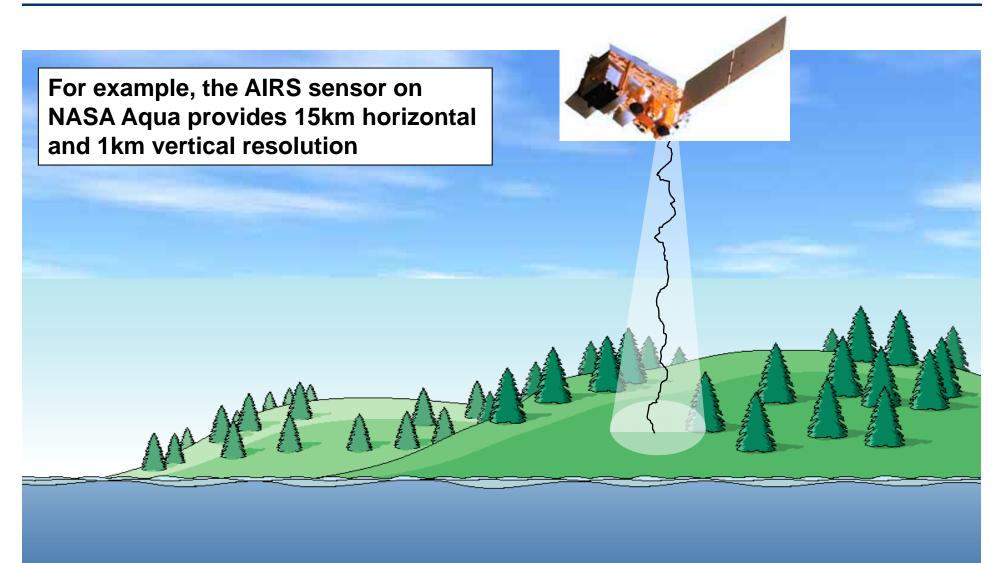
Recent Improvements In Measurement Resolution



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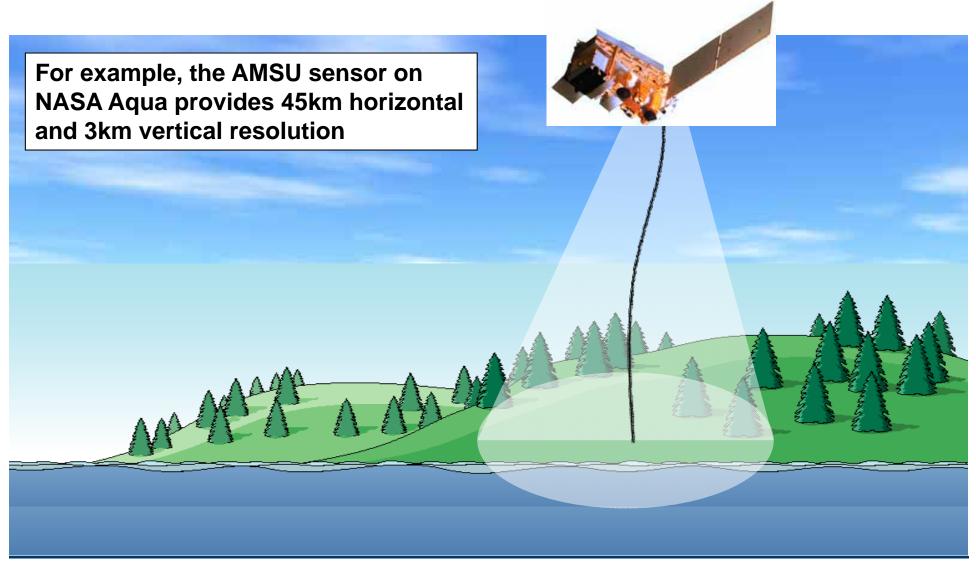
Passive Infrared Measurements Provide High Spatial Resolution



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Passive Microwave Measurements Provide Low Spatial Resolution, but Penetrate Clouds



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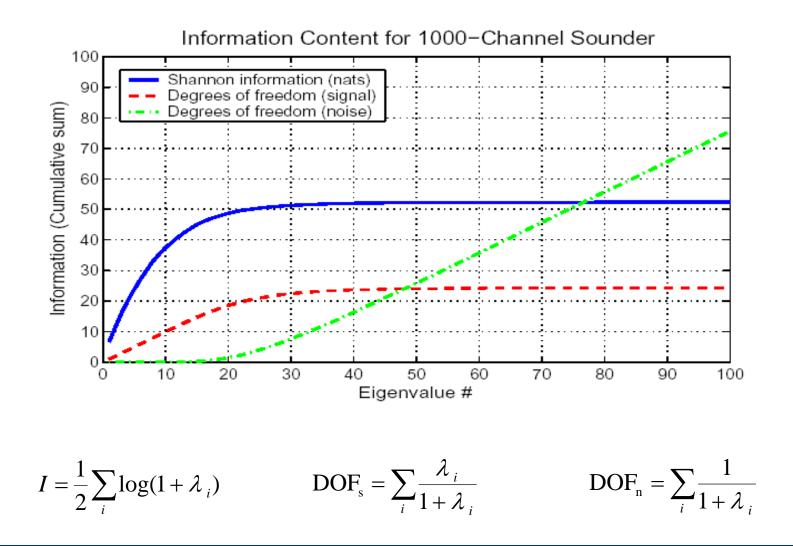


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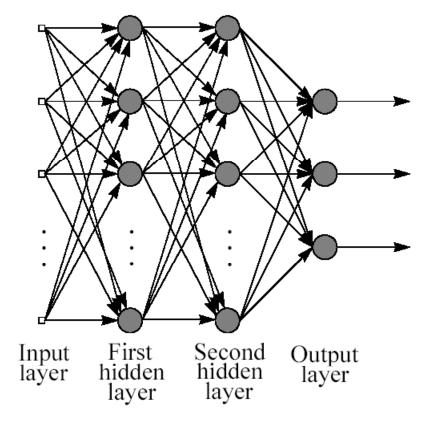
Hyperspectral Sounding Data is Highly Correlated



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Multilayer Feedforward Neural Networks



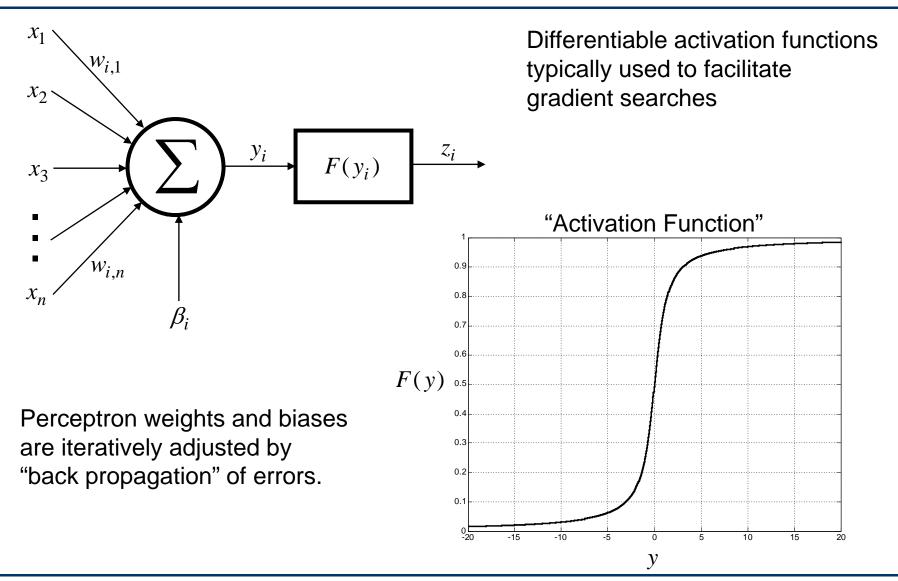
• Parameterized, nonlinear function

• Parameters ("weights" and "biases") are found by numerically minimizing some cost function (usually SSE)

 Sophisticated methods for finding optimal weights exist ("backpropagation" of errors)

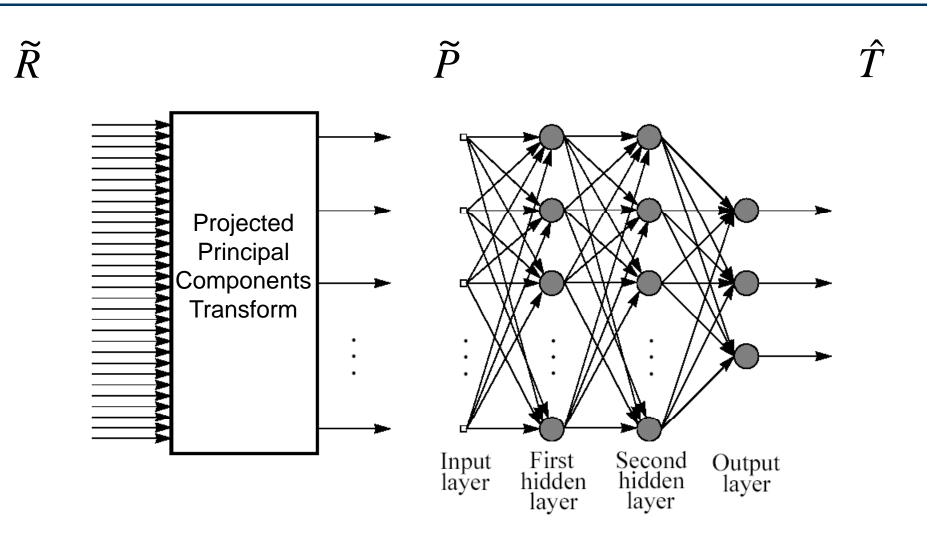


Perceptron





Combination of Radiance Compression and Neural Network





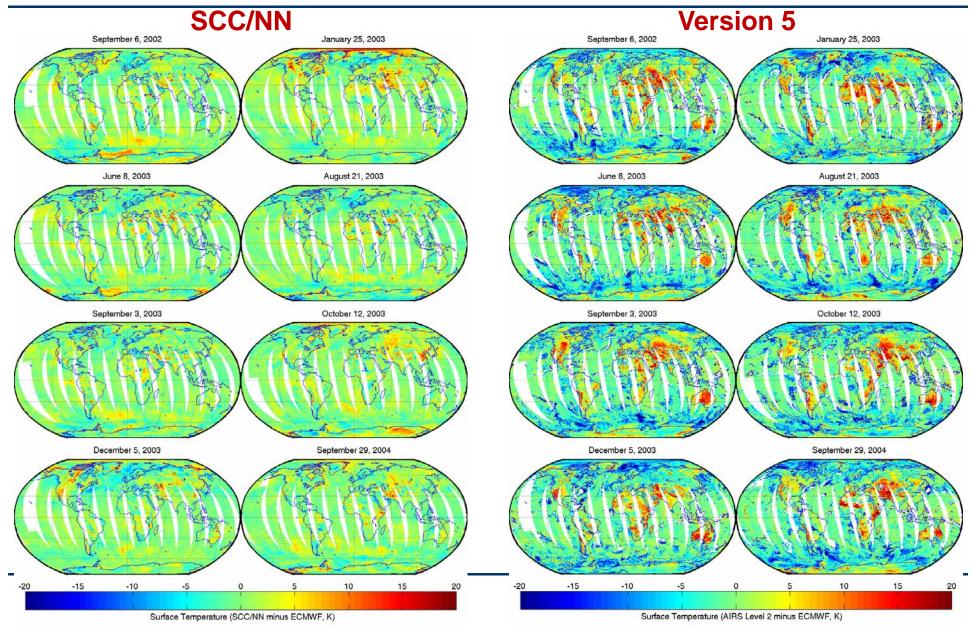
- What is cloud clearing?
 - Cloudy radiances (or T_B) cause inaccurate retrievals
 - Cloud-cleared radiances: radiances which would have been observed if FOV contained no clouds
- Prior work on cloud clearing
 - Ignore cloudy FOVs: only ~5% of AIRS FOVs are clear!
 - Physical cloud-clearing: iterate between estimation of physical parameters and calculation of observed radiance
 - Adjacent-pair clearing: use adjacent FOVs which have different fractional cloud cover.
 - Purely spatial processing: restore 2-D temperature field from sparse cloud-clear samples



- SC estimates cloud contaminations solely based on statistics without using any physical models
- Hyperspectral measurements may contain sufficient information about clouds in an obscured manner
- Robust and stable training is necessary
- Nonlinearity is accommodated using stratification (sea/land, latitude, day/night), multiplicative scan angle correction, etc.
- Advantages
 - Simple: SC does not need physical models (retrieval or radiative transfer).
 - Fast: Based on matrix addition and multiplication

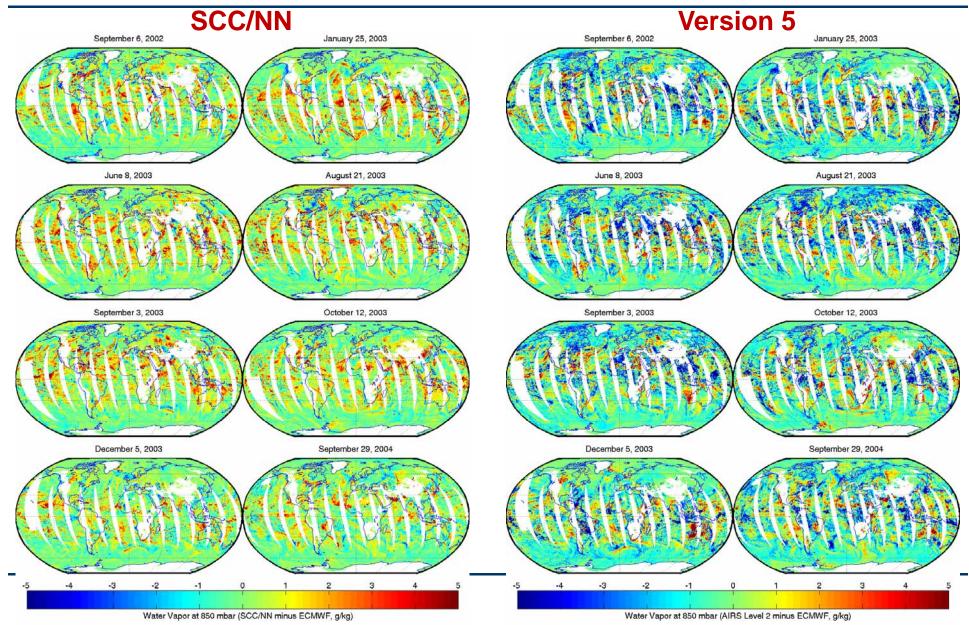


Surface Temperature Retrieval



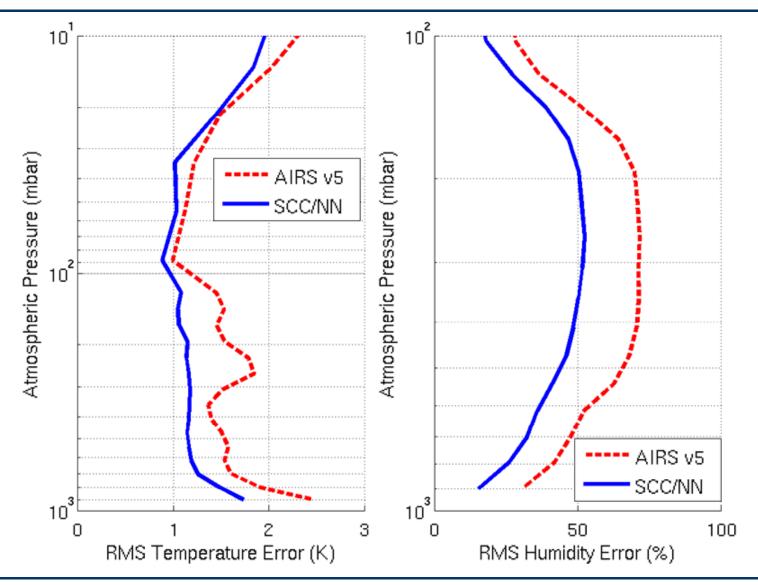


Water Vapor at 850 mbar



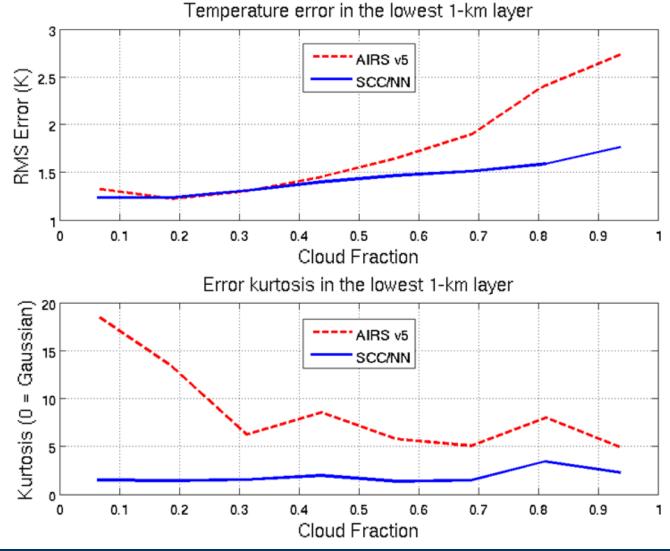


Global Comparisons with ECMWF





Performance Comparisons in Cloudy Cases



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Outline

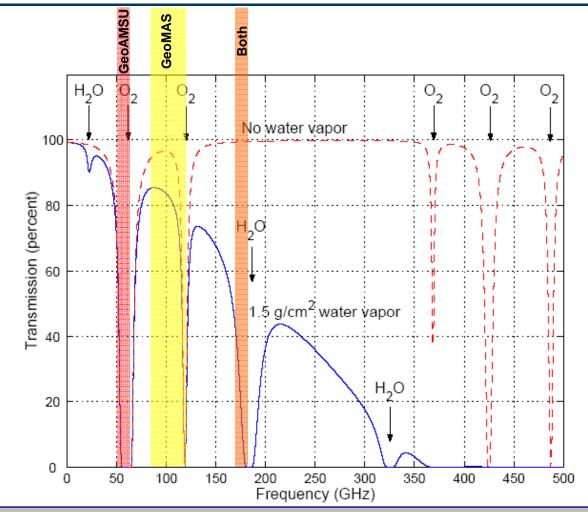
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 - Models: Atmospheric and radiative transfer
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- "Hyperspectral" measurements allow the determination of the Earth's tropospheric temperature with vertical resolution exceeding 1km
 - ~100 channels in the microwave
- Hyperspectral infrared sensors available since the 90's
 - Clouds substantially degrade the information content
 - A hyperspectral microwave sensor is therefore highly desirable
- Several recent enabling technologies make HyMW feasible:
 - Detailed physical/microphysical atmospheric and sensor models
 - Advanced, signal-processing based retrieval algorithms
 - RF receivers are more sensitive and more compact/integrated
- The key idea: Use RF receiver arrays to build up information in the spectral domain (versus spatial domain for STAR systems)



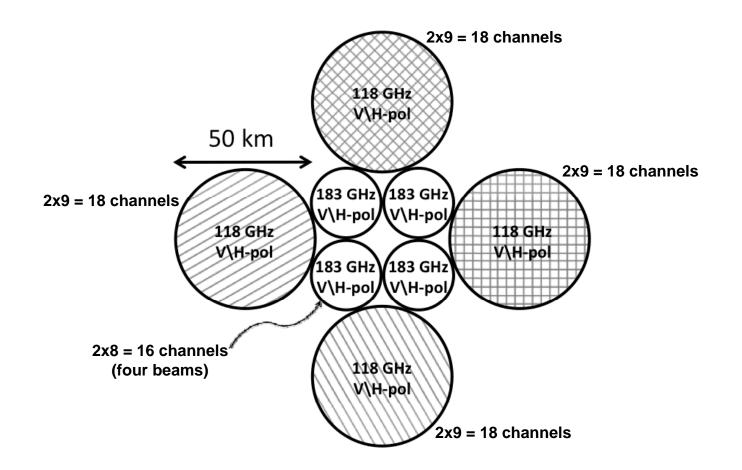
Atmospheric Transmission at Microwave Wavelengths



The frequency dependence of atmospheric absorption allows different altitudes to be sensed by spacing channels along absorption lines



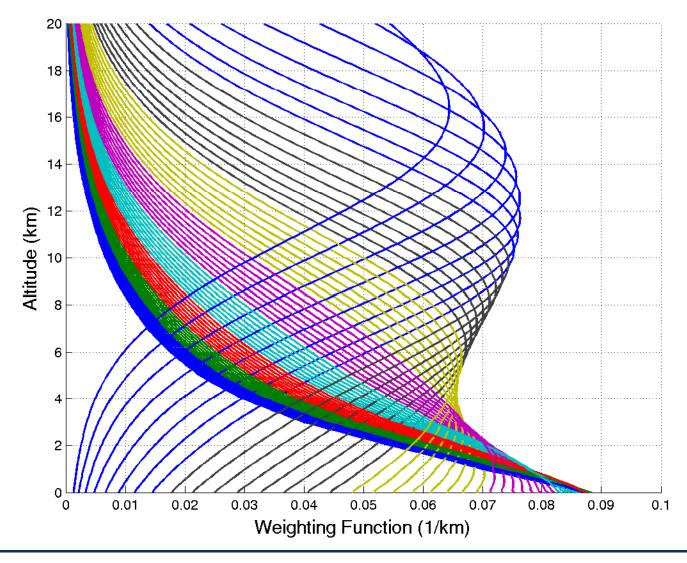
<u>Geo</u>stationary <u>Microwave Array Spectrometer:</u> Nominal GeoMAS Beam Layout



Array microscans; every spot on the ground is measured by 88 channels

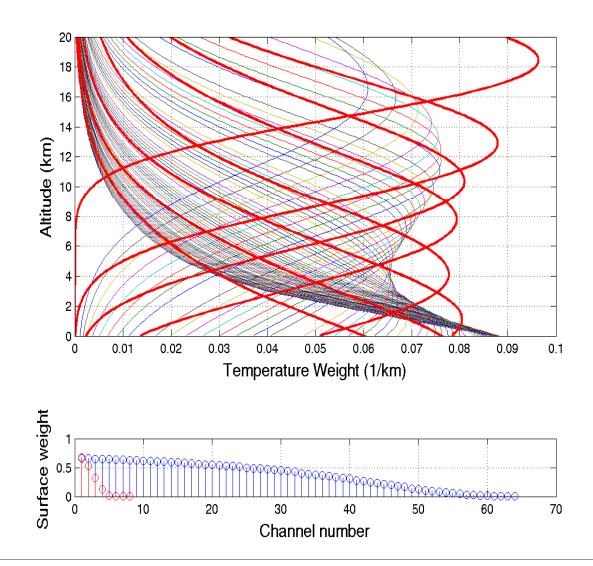


Spectral Multiplexing Concept





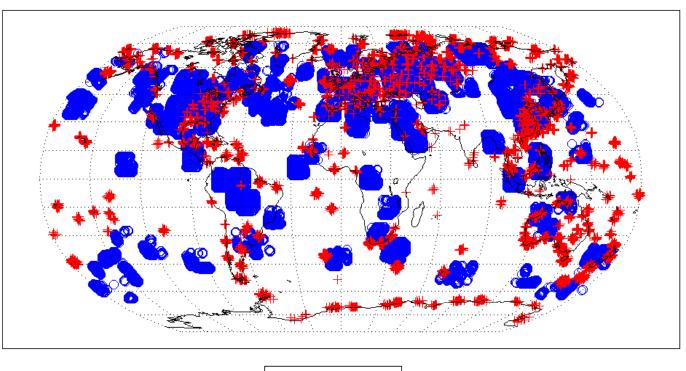
GeoMAS versus "Traditional" 60-GHz Bands



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Global Profile Sets for Performance Assessments



MM5
 + NOAA88b

MM5 characterized by high water content NOAA88b characterized by high variability



GeoMAS Channels

(coverage of 10,000x10,000 km² area in 15 min is assumed)

- GeoMAS 118-GHz
 - 64 channels on the low-frequency side of the 118.75-GHz line $\Delta T_{RMS} = 0.2 \text{ K}$
- GeoMAS 118-GHz + 183-GHz
 - 64 channels on the low-freq side of the 118.75-GHz oxygen line
 - 16 channels within +/- 10 GHz of 183.83-GHz water vapor line $\Delta T_{RMS} = 0.25 \text{ K}$
- "GeoMAS 88" (89-GHz + 118-GHz + 183-GHz)
 - 64 channels on the low-freq side of the 118.75-GHz oxygen line
 - 16 channels within +/- 10 GHz of 183.83-GHz water vapor line
 - 8 channels at 89 +/- 0.5 GHz
 - $\Delta T_{RMS} = 0.15 \text{ K}$

Half the channels at each band are H-pol, the other half are V-pol



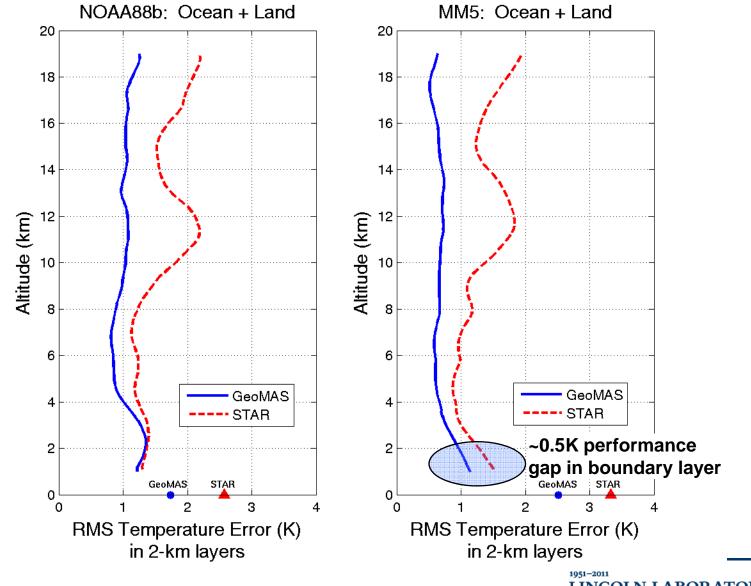
Synthetic Thinned Aperture Radiometer (STAR) Assumptions

- Six oxygen channels (identical to AMSU-A):
 - 50.3, 52.8, 53.596, 54.4, 54.94, 55.5 GHz
 - $\Box \Delta T_{RMS}$: 0.5, 0.35, 0.5, 0.35, 0.35, 0.35 K
- Four water vapor channels (three identical to AMSU-B):
 - 183.31 \pm 1, 3, and 7 GHz; 167 GHz
 - □ ΔT_{RMS}: 1, 0.71, 0.5, 0.71 K
- Fundamental receiver parameters (T_{sys} and τ) identical to those used for GeoMAS

B. Lambrigtsen, S. Brown, T. Gaier, P. Kangaslahti, and A. Tanner, "A baseline for the decadal-survey PATH mission," *Proc. IGARSS*, vol. 3, July 2008, pp. 338–341.



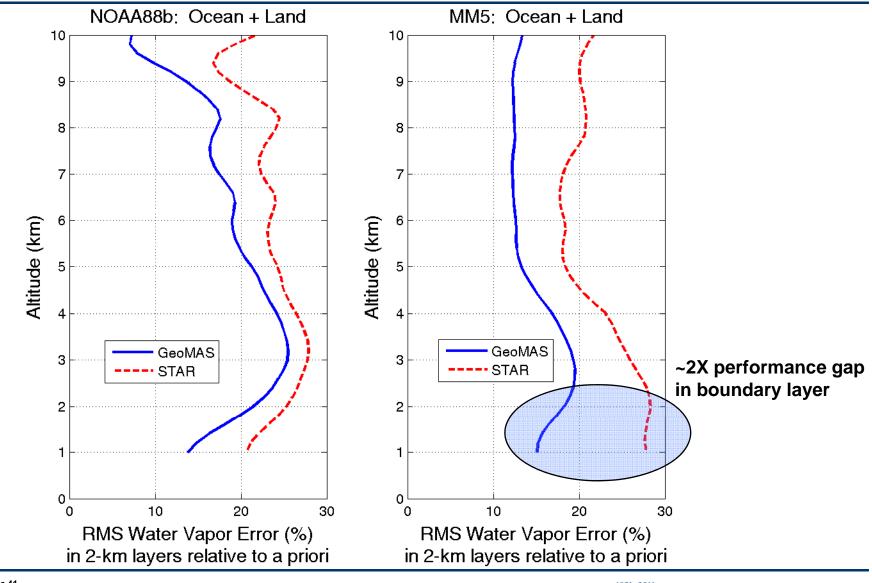
Temperature Retrieval Performance



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Water Vapor Retrieval Performance





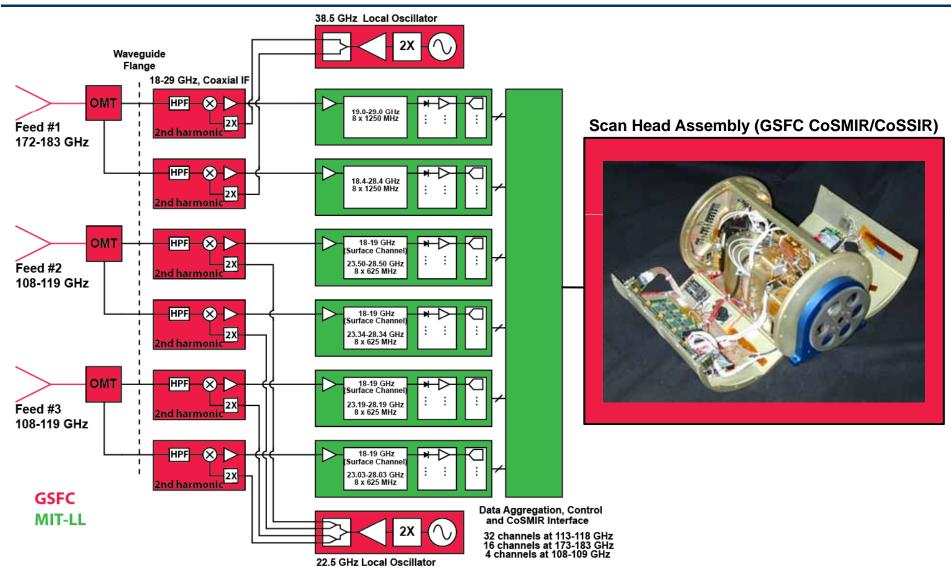
Precipitation Retrieval Performance: GeoMAS Superior for All Rain Rates

RAIN-RATE RETRIEVAL PERFORMANCE (RMS ERROR IN MM/H) FOR THE GEOMAS AND STAR SYSTEMS AT 25-KM SPATIAL RESOLUTION.

Rain-rate Range (mm/h)	GeoMAS (mm/h)	STAR (mm/h)
1-4	1.5	1.5
4-8	3.4	3.7
8-16	6.0	6.8
16-32	10.2	10.6
32-64	16.9	17.9



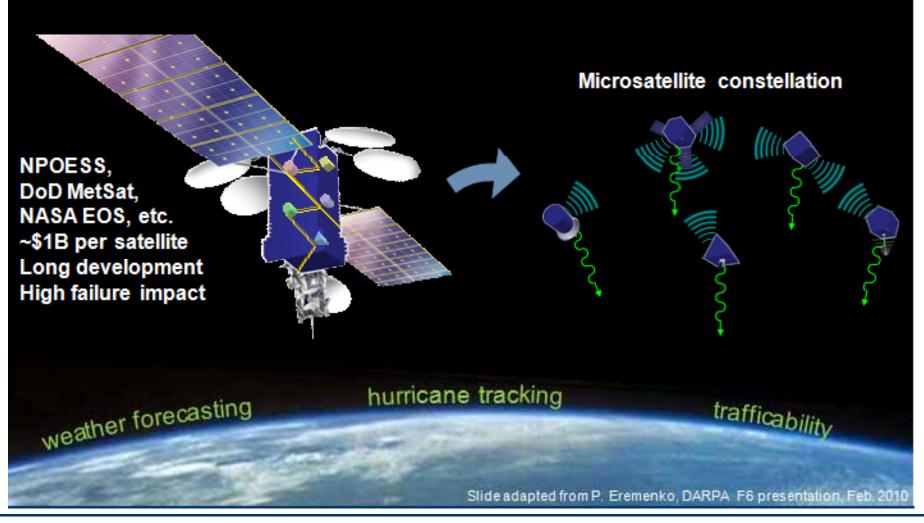
Hyperspectral Microwave Receiver Tech Demo Funded by NASA ACT





State-of-the-Art: Large, Monolithic Systems Are Distributed Systems a Better Approach?

Problem: Global, High-Resolution Atmospheric Sensing



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NASA Decadal Survey Interim Report June 2012

"The nation's Earth observing system is beginning a rapid decline in capability as long-running missions end and key new missions are delayed, lost, or canceled. The projected loss of observing capability could have significant adverse consequences for science and society. The loss of observations of key Earth system components and processes will weaken the ability to understand and forecast changes arising from interactions and feedbacks within the Earth system and limit the data and information available to users and decision makers. Consequences are likely to include slowing or even reversal of the steady gains in weather forecast accuracy over many years and degradation of the ability to assess and respond to natural hazards and to measure and understand changes in Earth's climate and life support systems."



U.S. Air Force Spending \$123.5M Weather Sat Funding on Tech Studies

"Air Force Col. Scott Larrimore, head of the Weather Systems Directorate at Air Force Space and Missile Systems Center in Los Angeles, said the Air Force will examine advanced electro-opticalinfrared and <u>microwave sensor technologies</u> for the next-generation system. The service also will take a look at <u>alternative mission</u> <u>architectures</u> including a <u>disaggregated approach</u> in which sensors are dispersed among several <u>small satellite platforms</u> rather than loaded onto larger platforms, he said."



Recent Environmental Monitoring Initiatives: New Challenges and New Solutions

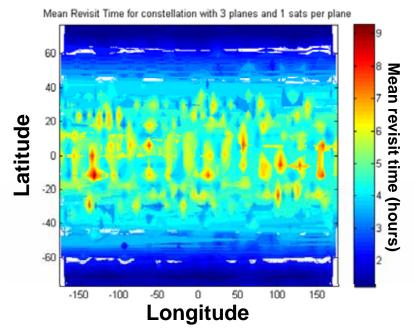
- Spaceborne sensing needs are evolving:
 - High survivability
 - Flexible architectures
 - Rapid technology insertion
- The need for high resolution, SNR, and persistence is constant
- Budgets are shrinking, but sponsors are amenable to new ideas

These Challenges Motivate Constellation Approaches Passive Microwave Sensing is Particularly Well Suited

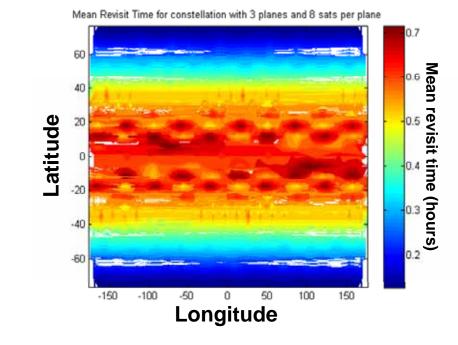


Architecture Studies Show Great Promise for Constellation Approaches

State-of-the-art \$500M system cost (w/ launch) 3 planes – 1 sat per plane



CubeSat constellation \$100M system cost (w/ launch) 3 planes – 8 sat per plane



Better than 10X Revisit Rate Improvement in Tropics

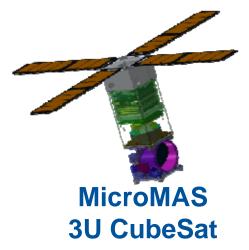


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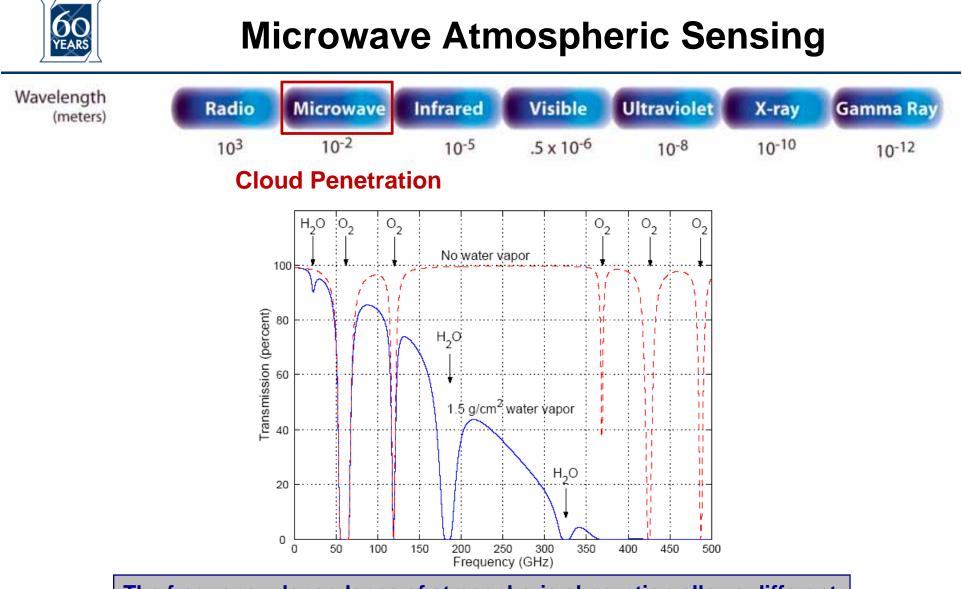


Outline

- Introduction and Motivation
- Mission Objectives
- Spacecraft Subsystems
 - Structures
 - Avionics
 - Communications
 - Power
 - ADCS
 - Thermal



- Payload: 118-GHz Microwave Spectrometer
- Path Forward

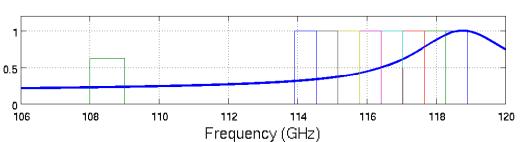


The frequency dependence of atmospheric absorption allows different altitudes to be sensed by spacing channels along absorption lines

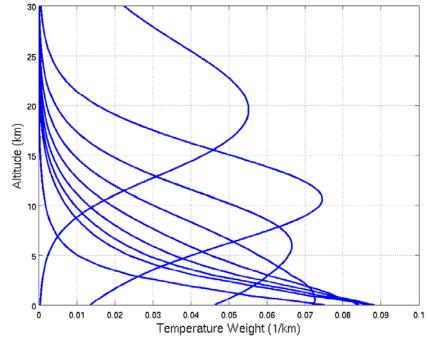


MicroMAS Channel Characteristics

Left edge	Center	Right edge	Bandwidth
113.9135	114.2260	114.5385	0.625
114.5375	114.8500	115.1625	0.625
115.1615	115.4740	115.7865	0.625
115.7855	116.0980	116.4105	0.625
116.4095	116.7220	117.0345	0.625
117.0335	117.3460	117.6585	0.625
117.6575	117.9700	118.2825	0.625
118.2815	118.5940	118.9065	0.625
108.0000	108.5000	109.0000	1.0000

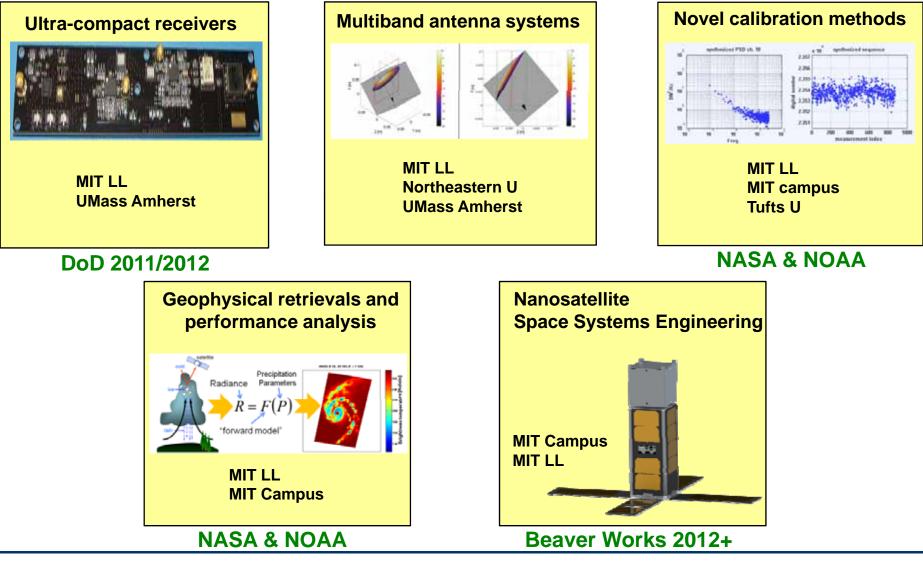


Approximately 1 rev/sec 1-degree sample spacing (Nyquist) +/- 50-degree swath





Recent Work & Enabling Technologies

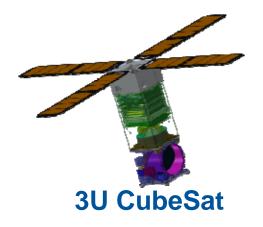


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Demonstrate Core Element of a Transformative Environmental Monitoring Architecture

- Synoptic sensing with focus on hurricanes and severe weather
- Slightly inclined orbit; ~500-km orbit altitude
- 25-km pixel diameter at nadir (cross-track scan out to ±50°)
- Geolocation error less than 10% of pixel diameter
- 1 K absolute accuracy; 0.3 K sensitivity
- 1-year mission lifetime
- 20 kbps (avg) downlink
- 12 W (avg) power





MicroMAS Mission Overview

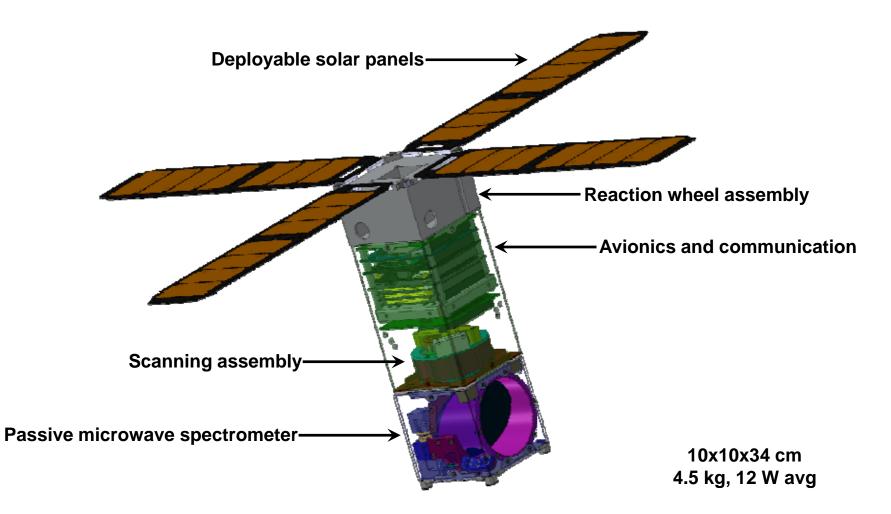
1	Mission Planning/Pre- Launch Integration
2	Launch as secondary payload
3	On-orbit deployment and initialization
4	Mission Ops - 6 months nominal
5	Fault Recovery/ Limited Ops
6	Mission Termination



- 8 channels near 118.75-GHz oxygen line
- 1 window channel
- Cross-track scan
- Spatial Nyquist sampling
- 2.4-degree FWHM antenna beam
- 95% beam efficiency
- 2 W (avg)
- 0.3 K NEDT
- 1 K calibration accuracy
- Noise diode, earth limb, and cold sky calibration



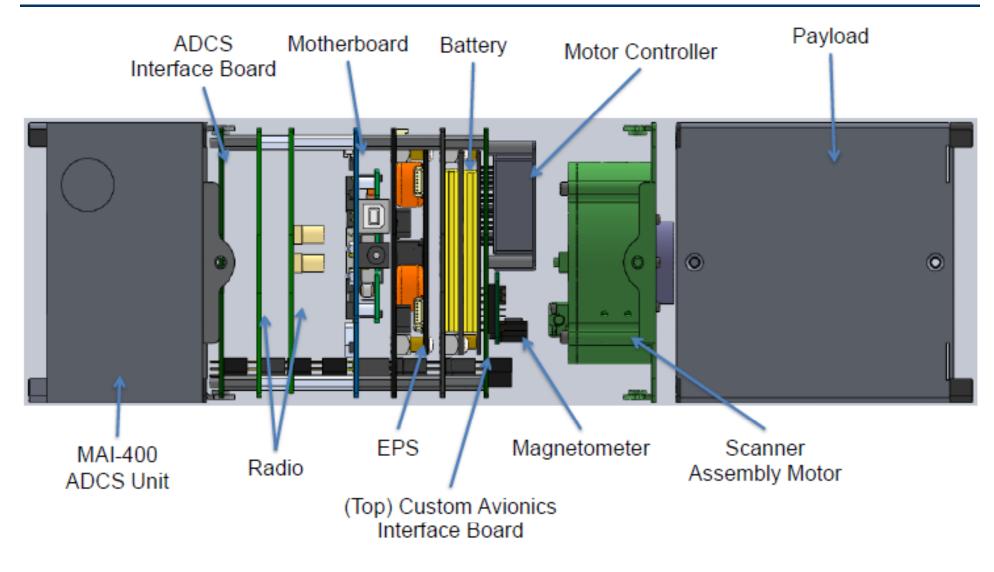
MicroMAS 3U Spacecraft



Body-mounted solar panels not shown



MicroMAS Bus Design

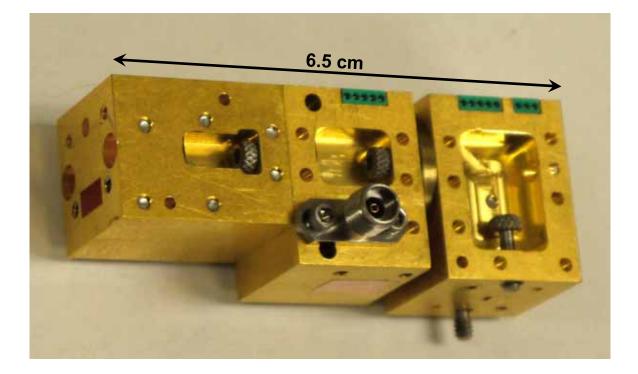




- Engineering Development Model (EDM) complete in Oct 2011
 - Functional testing
 - Vibration testing
 - Thermal testing
 - TVAC testing
 - Air bearing testing
- Flight Model under development
 - Long-lead parts ordered
 - Program at CDR maturity with 10% margin on mass, power, and budget



MicroMAS Receiver Engineering Model UMass Radio Astronomy Department



MicroMAS Tripler, Mixer, and RF Low-noise Preamplifer Modules

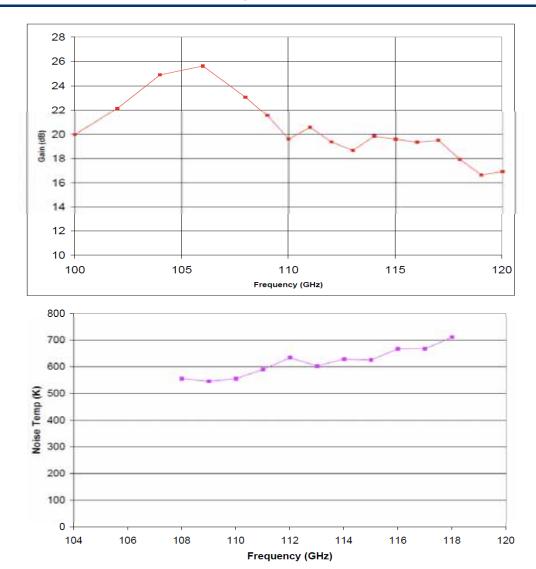


MicroMAS Receiver Engineering Model UMass Radio Astronomy Department



MicroMAS second stage EM assembly

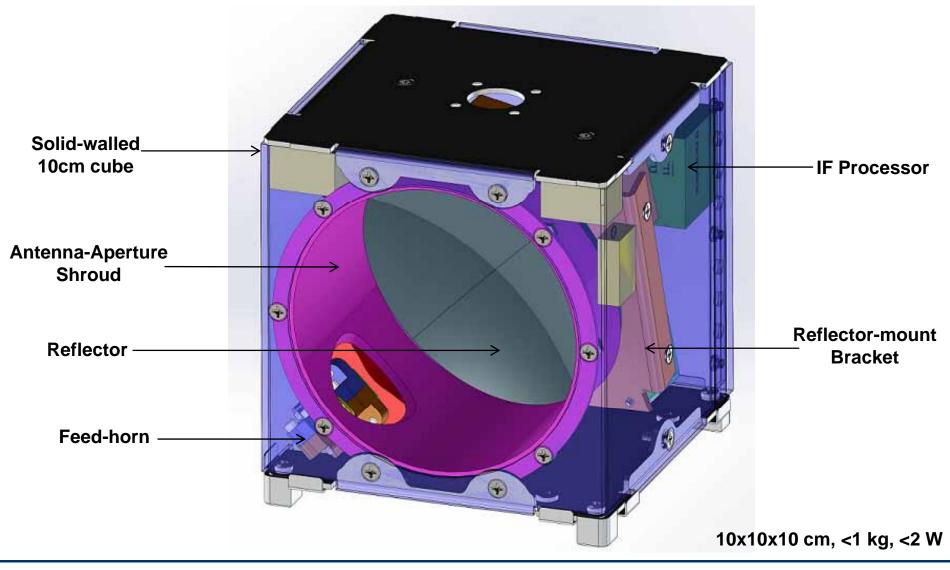
Fabrication of flight units underway



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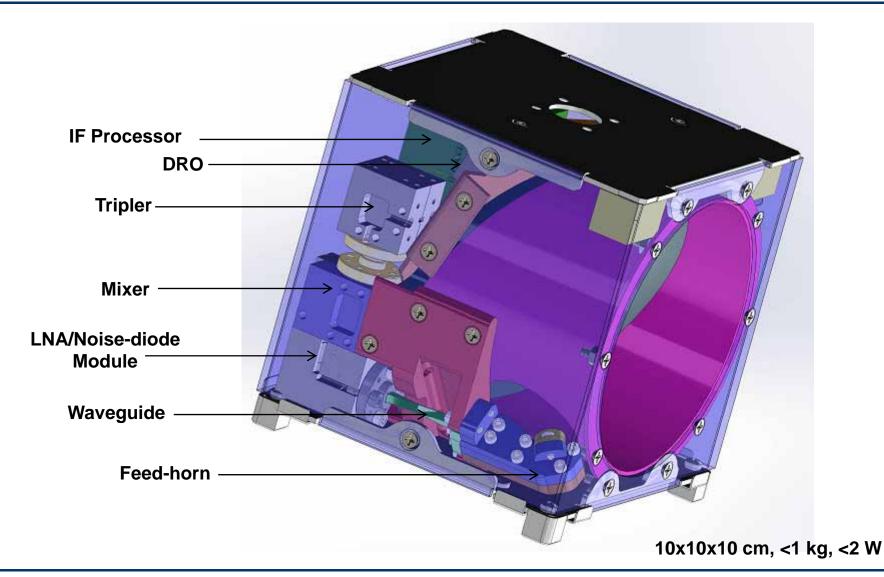


MicroMAS Payload (Front View) 118-GHz Spectrometer





MicroMAS Payload (Side View) 118-GHz Spectrometer





- Launch to be provided in late 2013 / early 2014 by NASA
- Concept demonstration illuminating new regions of architecture trade space for future Earth Science missions
 - All-weather sounding of highly dynamic phenomena, including convective storms, hurricanes, etc.
 - Studies of the hydrologic cycle Vapor, liquid, ice; precipitation
 - Studies of the diurnal cycle



- Earth atmospheric remote sensing is entering the era of "High Definition," improving forecasting and climate study:
 - Weather models now capable of resolving cloud and precipitation
 - Propagation models now capable of detailed scattering calculations
 - Advanced signal processing algorithms now capable of information extraction and fusion in high dimensional data sets
 - Microwave and infrared spectrometers observe with unprecedented accuracy, resolution, and revisit time
- Lincoln (and MIT campus) is active in all of these areas
 - Global data sets generated with MM5 cloud resolving models
 - TBSCAT radiative transfer codes
 - SCC/NN retrieval algorithm
 - AMSU/ATMS cal/val and precipitation retrieval
 - NAST-M airborne sensor
 - Advanced sensor concepts (GeoMAS, MicroMAS, and others)

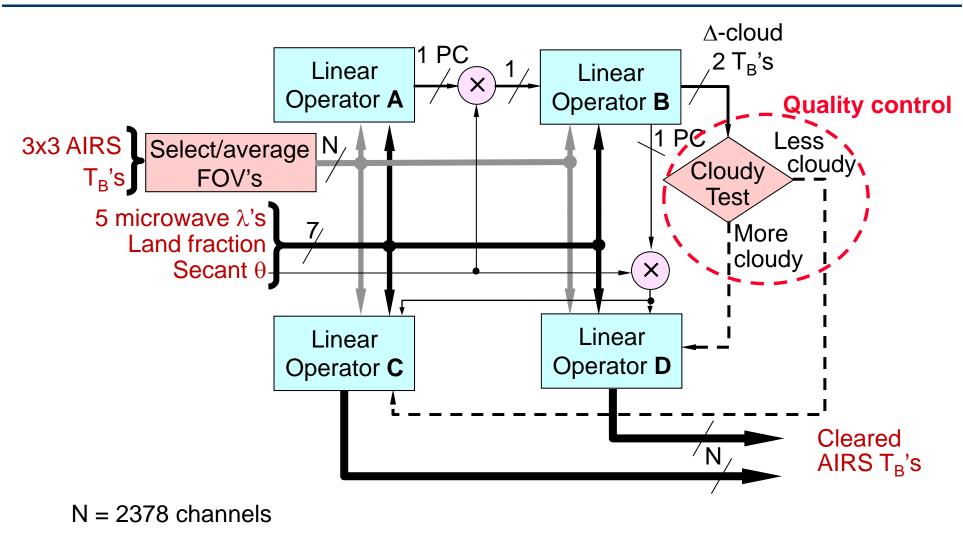
Backup Slides



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Block Diagram of SCC Algorithm

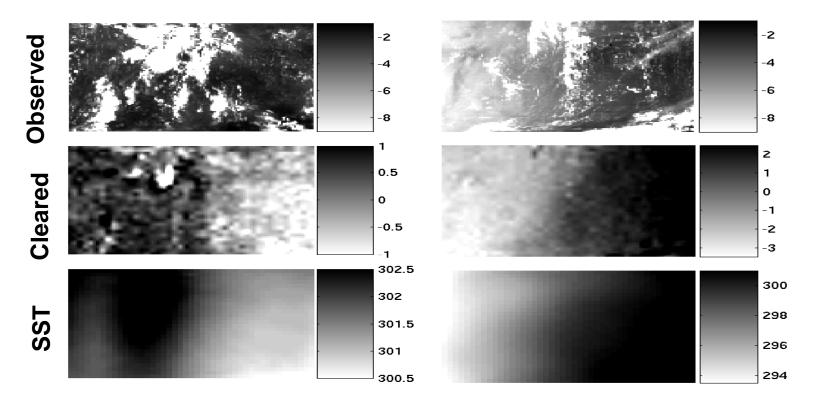


Cho and Staelin, JGR (8/06)



Stochastic Cloud Clearing with AIRS/AMSU: Comparisons with Sea Surface Temperature

• Angle-corrected TB images at window channels



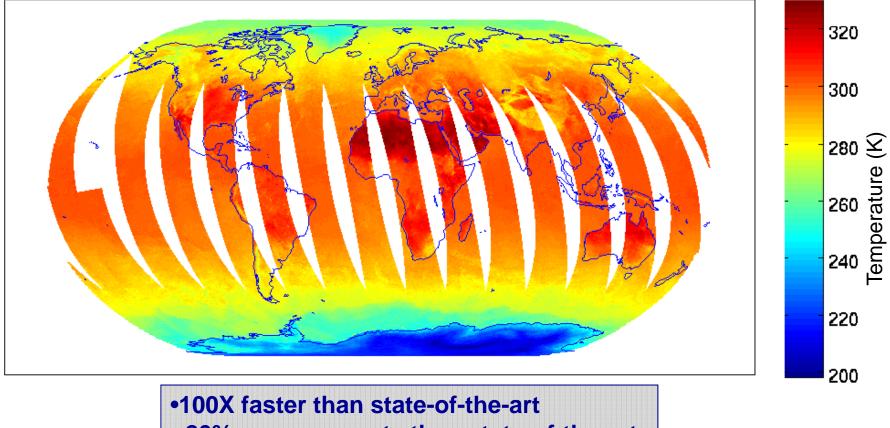
AIRS 2390.1cm⁻¹: near Hawaii AIRS 2399.9cm⁻¹: near SW Indian Ocean

• Clearing works well even if there is no hole (clear FOV)



Neural Network Retrieval of Global Temperature Profiles

AIRS/AMSU (NASA Aqua) Mosaic of Ascending Orbits on Sep 6, 2002



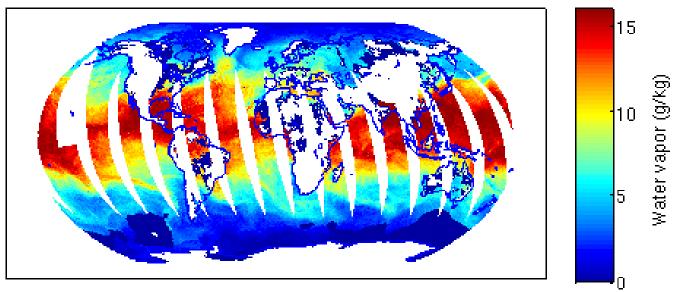
- 20% more accurate than state-of-the-art
- 30% better yield than state-of-the-art



Neural Network Retrieval of Global Moisture Profile

AIRS/AMSU (NASA Aqua) Mosaic of Ascending Orbits on Sep 6, 2002

Altitude = 0.4 km



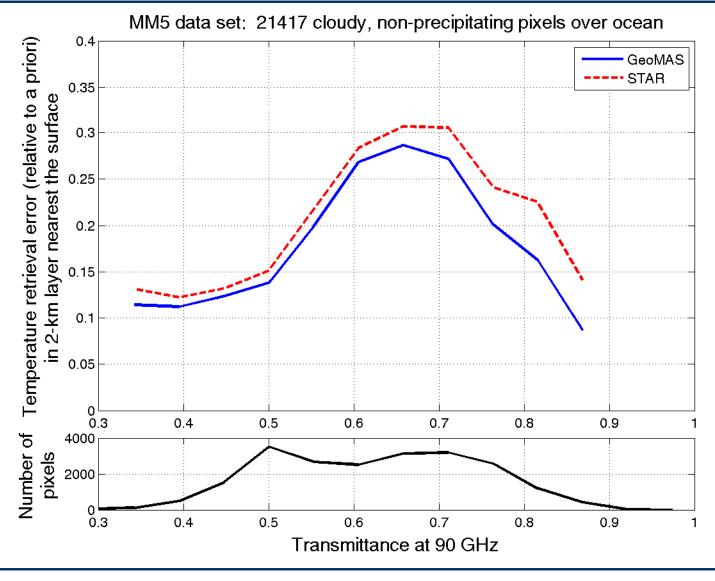
Water vapor is characterized by fine-scale structure
Neural network retrieval captures this structure on a global scale

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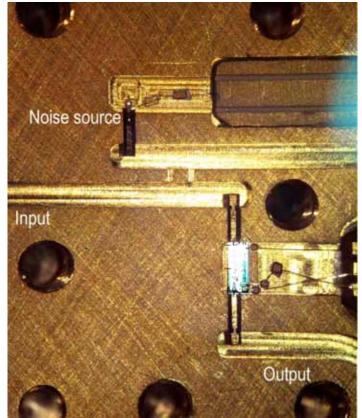
GeoMAS Performance Superior, Even for Low Transmittance (High Water Content)



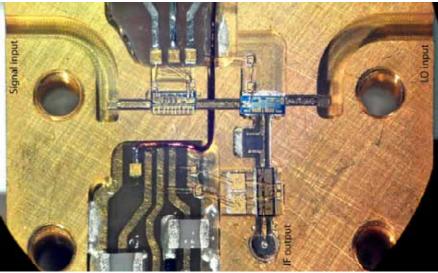


MicroMAS Receiver Engineering Model UMass Radio Astronomy Department

First stage: RF preamplifier and noise diode 2.5 x 2 x 2 cm



Second stage: RF amplifier, mixer, and IF preamp 2.5 x 2 x 2 cm



RF in: 108-119 GHz IF out: 18-29 GHz

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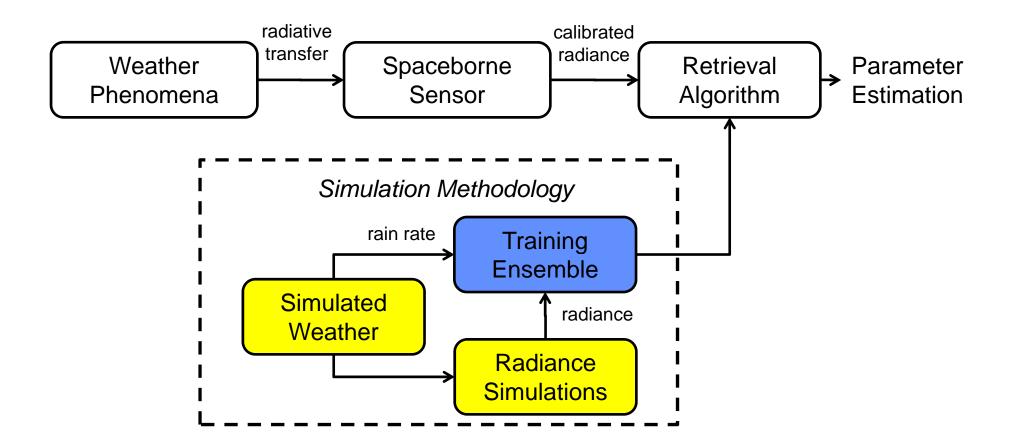


Outline

- Brief overview of MIT Lincoln Laboratory
- Introduction to space-based passive infrared and microwave atmospheric sounding
 - Motivation
 - Past, present, and future sounding systems
 - Mathematical background
- Algorithmic challenges of modern sounding systems
 - Retrieval of atmospheric temperature and moisture profiles using high-resolution IR sensors (1000's of channels)
 - Clouds impact approximately 95% of soundings (highly non-linear)
- Recent research and new approaches:
 - Algorithms: Neural Network Estimation; Stochastic Cloud Clearing
 - Models: Atmospheric and radiative transfer
 - Sensors: Hyperspectral microwave sounding (GeoMAS) and constellation sensing (MicroMAS CubeSat mission)
- Summary and Final Thoughts

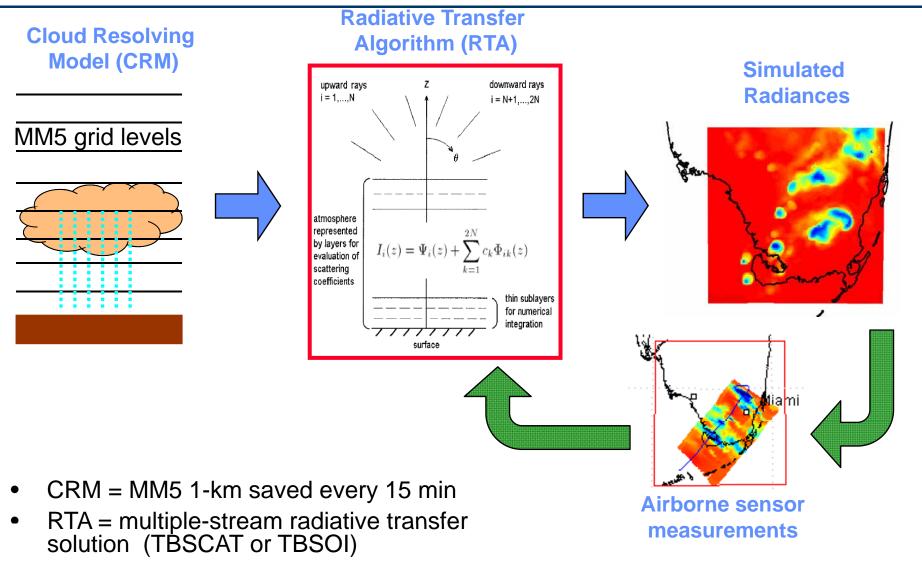


Physical Models Needed for Simulations





Simulation Methodology Validation

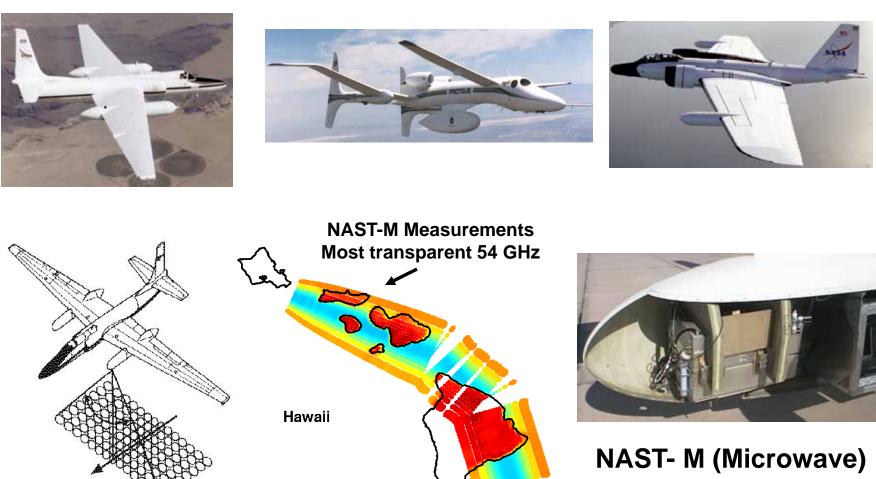




ER-2

Proteus

WB-57

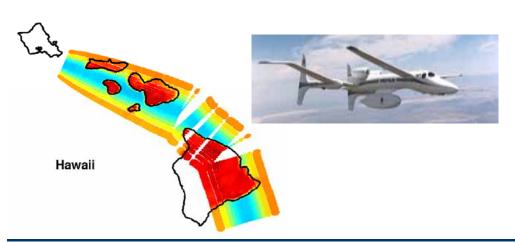


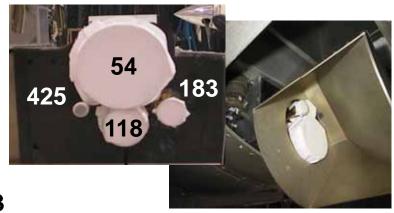
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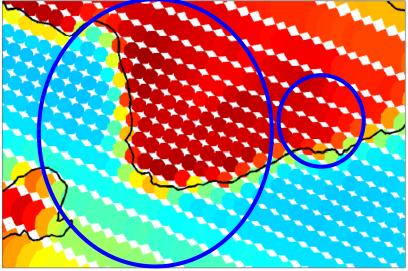


NAST-M Specifications

- Cruising altitude: ~17-20 km
- Cross-track scanning
- Scan angle: -65° to 65°
- 7.5° antenna beam width (FWHM)
- 2.5-km nadir footprint diameter
- Swath width of ~100 km
- Spectrometers at 54 GHz, 118 GHz, 183 GHz, and 425 GHz
- Nadir-viewing camera







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Methodology Validation

118.75 +/- 2.05 GHz Black asterisks are NAST-M * 10⁻¹ observations from ten flights **Precipitating pixels only** during the CRYSTAL-FACE 2002 deployment (41,670 10⁻² pixels) * Blue asterisks are from the 10⁻³ Suruss./Staelin methodology; (535,126 pixels from eight hours of MM5 simulation per 10 day) Red asterisks are the 10⁻⁵ validated simulation methodology 10⁻⁶ . 75 100 125 150 175 200 225 250 275 300 T_h [Kelvin]

Surussavadee and Staelin, IEEE Trans. Geosci. Remote Sens., vol. 44, no. 10, Oct. 2006.